

PERSONAL FINANCIAL STATEMENT

As of: _____, 20____

Name _____ Residence _____
Occupation _____ Business Address _____

I/we make the following statement of all my/our assets and liabilities at the close of business this _____ day of _____
And give other material information for the purpose of obtaining credit based upon present and future applications.

ASSETS

Cash in Banks	(Sch. No. 1)	\$ _____
Notes Receivable	(Sch. No. 2)	\$ _____
Accounts Receivable	(Sch. No. 2)	\$ _____
Loans Receivable	(Sch. No. 2)	\$ _____
Life Insurance – Cash Surrender Value	(Sch. No. 3)	\$ _____
Listed Securities – Readily Marketable	(Sch. No. 4)	\$ _____
Securities – Not Readily Marketable	(Sch. No. 4)	\$ _____
Mortgage Notes Owned	(Sch. No. 5)	\$ _____
Real Estate	(Sch. No. 6)	\$ _____
Automobiles (in own name)		\$ _____
Retirement Accounts (IRA, 401K, other)		\$ _____
Other assets (itemize)		\$ _____
<u>TOTAL ASSETS</u>		\$ _____

LIABILITIES AND NET WORTH

Notes Payable to Banks – Unsecured	(Sch. No. 1)	\$ _____
Notes Payable to Banks – Secured	(Sch. No. 1)	\$ _____
Notes Payable to Others		\$ _____
Accounts Payable		\$ _____
Loans Against Life Insurance	(Sch. No. 3)	\$ _____
Real Estate Mortgages Payable	(Sch. No. 7)	\$ _____
Federal & State Income Taxes Payable		\$ _____
Broker's Margin Accounts	(Sch. No. 8)	\$ _____
Other Liabilities		\$ _____
Net Worth		\$ _____
<u>TOTAL LIABILITIES & NET WORTH</u>		\$ _____

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SUPPLEMENTARY SCHEDULES**

NOTE: The following data should be furnished as of the same date as this Financial Statement. Fill in all spaces: Insert None where appropriate.

No. 1 – Banking Relations (Checking, Savings, Money Market, CD’s and Secured Bank Loans)

Name & Location of Bank	Balance	Amt. of Loan	Indicate how loan is endorsed, or secured	

No. 2 – Notes, Accounts and Loans Receivable – List the largest amounts owed to you:

Name & Address of Debtor	Amount	Nature of Debt	Describe Security	Date Pamt. Expected

No. 3 – Life Insurance – List all Policies in which you are named as the insured:

Beneficiary	Insurance Co.	Type of Policy	Face Amt.	Cash Surrender	Loans Against Policy

No. 4 – Securities – List all stocks, bonds, etc

Face Value	Broker’s Name	Description	Market Value	Amount Pledged

No. 5 – Mortgages Owned:

Location of Mort. Properties	Market Value	Amt. of Owned Mort.	Mort. Interest	1 st or 2 nd Mort.

Mortgage Income – During the 12-month period ended _____, 20____, on the above described mortgages, I received interest payments of \$ _____ and principal payments of \$ _____.

No. 6 – Real Estate:

Location of Owned Properties	Cost with Improvements	Name on Title	Market Value	Annual Rental Income
1) _____				
2) _____				
3) _____				

No. 7 – Real Estate Mortgages Payable – List all mortgages on the above properties:

	First Mortgages		Second Mortgages	
	Amount	Maturity	Amount	Maturity
1) _____				
2) _____				
3) _____				

No. 8- Broker’s Margin Accounts – List the names and addresses of the brokers and indicate the net amount due to each: _____

Initials: _____

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Personal Data - DOB _____ Marital Status _____ No. of Dependents _____ Spouse's Name _____

Income & Expense – My gross income from all sources during the 12-month period ended _____, 20____, amounted to \$_____. My personal living expenses and those of my dependents during that period amounted to \$_____ and I had other expenses for income taxes, insurance premiums, interest on debts, etc. of \$_____. I expect no important changes in my income or expenses during the current year or next year, except as follows:

Contingent Liabilities – As of the date of this financial statement, I had no contingent liabilities, except as follows: Notes Receivable Discounted or Sold \$_____. Accounts Receivable Assigned or Sold \$_____; Co-maker \$_____ Endorser/Guarantor \$_____; Mortgage Bonds \$_____; Leases _____; Claims for Taxes \$_____ ; Other _____

Pledged, Assigned or Hypothecated Assets – As of the date of this financial statement I had not pledged, assigned, hypothecated or transferred the title to any of my assets, except as noted on this form or on a supporting schedule, nor has any such action been taken since that date except, as follows: _____

Legal Actions – No lawsuits, claims, judgments, or other legal actions are outstanding or pending against me and, to the best of my knowledge no legal actions are to be started against me, except as follows: _____

Insurance Coverage –Fire Insurance: Building \$_____;Automobile, Household Effects, etc \$_____; indicate if policies have extended coverage endorsement _____;Liability Insurance: Automotive \$_____, Personal \$_____, General Public \$_____; Other insurance _____ Date of last independent analysis of insurance:_____ ; Indicate adequacy of coverage:_____

Certification – This is to certify that all the statements contained herein and in any supporting schedules are true and give a correct showing of my/our financial condition as of the date indicated. I further certify that I/we had no liabilities, direct or contingent, business or accommodation, except as set forth in this statement, and that the title to all assets therein set forth are in my/our name solely, except as may be otherwise noted. IN THE EVENT OF ANY MATERIAL ADVERSE CHANGE IN MY FINANCIAL CONDITION, I AGREE TO NOTIFY THE LENDING FINANCIAL INSTITUTION IMMEDIATELY IN WRITING.

Signed this _____ day of _____, 20____. X _____

Signature Soc. Sec. # _____

X _____

Signature Soc. Sec. #: _____